

Class L Stock Application Booklet

Dear Applicant:

Ahtna shareholders voted on June 7, 2008 to approve Class L stock to lineal descendants of original Ahtna shareholders meeting the eligibility requirements and born after December 18, 1971. Ahtna is one of five ANCSA Regional Corporations to have extended this benefit to our children.

Enclosed is the Class L stock application booklet for enrolling descendants. Applications are also available online at www.ahtna-inc.com.

If you have any questions, please call (907) 822-3476 or send an email to eewan@ahtna-inc.com.

Respectfully,

Michelle Anderson

Mikelle Anderson

President,

Ahtna, Incorporated

Class L Eligibility Criteria:

- · Lineal descendants of an original Ahtna shareholder (meaning a direct descendant such as a child, grandchild, etc.) and;
- 1/4 or more Alaska Native blood quantum and;
- · Born after December 18, 1971 and:
- United States Citizen and:
- Are not shareholders of another ANCSA Regional Corporation (except by gifting) or inheritance)

Before you begin...

Please gather the following REQUIRED DOCUMENTS: ☐ Class L Application ☐ Original Birth Certificate – Required for ALL enrollees An original birth certificate is the only document that will be accepted. You can obtain an original certified birth certificate by contacting your local Vital Statistics Office. ☐ Original CERTIFICATE OF INDIAN BLOOD (CIB) — Required for ALL enrollees For assistance, contact the Bureau of Indian Affairs at: Anchorage: 1675 "C" Street, Anchorage, AK 99501 or call (907) 271-3519 • Fairbanks: 101 12th Avenue, Room 166, Fairbanks, AK 99701 or call toll free 1 (800) 822-3596 or (907) 456-0522 • Juneau: P.O. Box 25520, Juneau, AK 99802 or call (907) 586-7635 If biological parent(s) are Alaska Native, and not enrolled in Ahtna, contact the Bureau of Indian Affairs at the contact information listed above. For Alaska vital records, contact: Anchorage (907) 269-0991; Fairbanks (907) 452-4863; or Juneau (907) 465-3391. Or apply online for an Alaska birth certificate at http://www.hss.state.ak.us/dph/bvs/birth/default.htm For births outside of Alaska, apply online at: http://www.cdc.gov/nchs/howto/w2w/w2welocm.htm Ahtna CANNOT accept photocopies or notarized copies of birth certificate or CIB.

Your original birth certificate and CIB will be returned to you via U.S. mail service.

Additional documents...

REQUIRED, if a	oplicable:
	F NAME CHANGE if NAME is DIFFERENT than listed on birth certificate.
	F CUSTODIANSHIP if not a biological or adoptive parent.
☐ PATERNI	TY AFFIDAVIT if parent is not listed on your birth certificate.
☐ ADOPTIO	N COURT ORDER if adopted by one or both parents.
Deaum	ente must about the higherical perent(s) name(s). If your adentian court and

Documents must show the biological parent(s) name(s). If your adoption court order does not show biological parents, then contact Shareholder Records for guidance at (907) 822-3476.

All personal information will be kept confidential. You may make copies or go online to print additional applications or other forms needed at www.ahtna-inc.com or request additional copies by calling (907) 822-3476.

INSTRUCTIONS FOR CLASS L STOCK APPLICATION

Please note that applications must include social security numbers to be processed for enrollment.

PART 1 APPLICANT / ENROLLEE INFORMATION

Provide applicant/enrollee's full legal name, **social security number**, date of birth, gender, mailing address, home and work phone numbers; and e-mail address, if enrollee is over 18 years of age.

Please mark whether applicant/enrollee owns shares in any Regional Corporations, and if yes, check all that apply.

If by one or both parents, mark "YES" and complete the **ADOPTION FORM**. If biological parents are unknown, contact Shareholder Records for guidance at (907) 822-3476.

PART 2 BIOLOGICAL PARENTS OF APPLICANT / ENROLLEE

Alaska Native blood quantum CAN ONLY be established through biological parent(s) and CANNOT be met through adoptive parent(s).

If unknown, mark the box. However, if you know other biological relatives or information that may assist Ahtna in processing the application please contact Shareholder Records for guidance.

Enter the **biological mother's** full name, other names she is known by (such as maiden name), social security number, date of birth, whether Alaska Native, and whether she owns stock in any Regional Corporation.

Enter the **biological father's** full name, other names he is known by, social security number, date of birth, whether Alaska Native, and whether he owns stock in any Regional Corporation.

PART 3 ADOPTIVE PARENT(S) INFORMATION

Alaska Native blood quantum CAN ONLY be established through biological parent(s) and CANNOT be met through adoptive parent(s).

Enter the adoptive mother's full name, other names she may be known by, social security number, date of birth, whether Alaska Native and whether the adoptive mother owns stock in any Regional Corporation. If mother is biological check "Not Applicable".

Enter the adoptive father's full name, other names he may be known by, social security number, date of birth, whether Alaska Native and whether the adoptive father owns stock in any Regional Corporation. If father is biological, check "Not Applicable".

PART 4 LINEAL HERITAGE TREE

Starting with the Applicant/Enrollee, complete the Lineal Heritage Tree to the best of your knowledge. Please provide the name, tribe, clan and blood quantum for each person. If additional space is needed, please include on a separate piece of paper and attach to your application.

PART 5 TERMS, CONDITIONS AND RESTRICTIONS

Dividends and Distributions

Class L shareholders will receive dividends from Ahtna on the same basis as do other classes of Ahtna stock, except that Class L shares are not entitled to receive special distributions of natural resource revenues under 7(j).

Number of Shares

A total of 100 shares of Class L stock will be given to each eligible enrollee.

- 30 Class L shares will be distributed to every enrollee upon enrollment and
- 70 additional Class L shares will be distributed upon reaching 18 years of age and receiving a High School Diploma, GED or equivalent, or reaching 21 years of age without a High School Diploma, GED or equivalent, for a total of 100 shares.

Terms, Conditions and Restrictions are accepted by the enrollee and his/her custodian once the Class L stock is received:

- Class L stock shall be life estate which means upon the death of that shareholder, the stock is cancelled without compensation to the heirs.
- Class L stock may not be transferred by gift.
- Class L stock and the right to receive dividends and distributions may not be sold, pledged, assigned in present or future, transferred by gift, even if restrictions on transfer end for other Ahtna stock.
- 30 shares of Class L stock can be voted by the shareholder's custodian until they reach the age of 18, or if Alaska law changes the age of majority.
- Class L shareholders may not now nor in the future own stock in another regional corporation unless the other regional corporation stock is obtained by inheritance, gift or purchases.
- Any person who already owns Class L stock will not be eligible for more shares.
- Class L stock will revert to Ahtna without compensation if it is later determined that the recipient was not eligible to receive stock or violates any condition of holding the stock.

PART 6 CERTIFICATION AND SIGNATURE

If you are the **applicant/enrollee** completing this application AND are 18 years of age or older, **SKIP TO SIGNATURE**.

If the enrollee is a minor AND you are the biological or adoptive parent, CONTINUE PART 6.

If you are the **legal custodian by court order OR power of attorney**, check the appropriate box and attach the court order of power of attorney, **CONTINUE PART 6**.

Your signature must be notarized. Sign, date and print your name and indicate your relationship to enrollee. Mail to:

Ahtna, Inc., Attn: Enrollment, PO Box 649, Glennallen, AK 99588

If you have any questions, please call Shareholder Records at (907) 822-3476 or send an email to eewan@ahtna-inc.com.

Please note that Part 7 and 8 are optional sections; they are not required and will not affect the potential approval of your application.

PART 7 DIRECT DEPOSIT OF DIVIDENDS

- If you do not want direct deposit, mark "NO."
- If you would like direct deposit, mark "YES" and continue to Part 7.
- BANK NAME: Write the full name of bank.
- BANK ROUTING NUMBER: This is a nine-digit number that identifies your bank. If you have a
 checking account, the routing number is located on the bottom left side.
- BANK ACCOUNT NUMBER: Enter the account number located on the bottom right side of the
 routing number. Mark either "CHECKING" or "SAVINGS". If you have questions, please contact
 your bank; they will be able to provide you with your routing number.
- NAME AS IT APPEARS ON BANK ACCOUNT: Provide the name on the bank account.
- ATTACH VOIDED CHECK: Please attach a voided check to verify the account information. If
 you do not have a check available, attach a copy of the front and back side of your debit card.

HAVE A QUESTION OR NEED HELP?

Call Shareholder Records at (907) 822-3476 or send an email to eewan@ahtna-inc.com.

Frequently Asked Questions

Q: If I already own shares through gifting or inheritance can I still enroll and receive Class L stock?

A: Yes, as long as the enrollee is qualified, he or she would receive Class L stock upon approval of valid Class L application.

Q: If I already own Class L stock, can I apply for more?

A: No, you may not own more than 100 shares of Class L stock.

Q: Do I get to vote my class L shares?

A: 30 shares of Class L stock can be voted by the shareholder's custodian until they reach the age of 18, or if Alaska law changes the age of majority.

Glossary of Terms

Inheritable Stock can be passed on after the shareholder's death. The stock can be inherited and continues to exist after the shareholders death.

Life Estate Stock lasts as long as the shareholder owning it is alive. After the death of the shareholder the stock returns to Ahtna without compensation to heirs. In Ahtna, Inc., the only life estate stock is Class L.

Record Date is the date a shareholder must officially own shares in order to be entitled to a dividend or to vote at the annual meeting.

Restricted Stock cannot be sold, traded, used as collateral to back a loan or pay off a debt. All Ahtna, Inc. stock is restricted.



CLASS L (LIFE ESTATE) STOCK APPLICATION

(For lineal descendants of original Ahtna shareholders born after Dec. 18, 1971)

PART 1: APPLICANT / ENROLLEE INFORMATION

FIRST NAME	MIDDLE NAME	LAST NAME	SUFFIX	
OTHER NAMES KNOWN BY (SUCH AS MAIDEN)	SOCIAL SECURITY NUMBER	DATE OF BIRTH (MM/DD/YYYY)	_ □ MALE □ FEMALE GENDER	
MAILING ADDRESS	CITY	5	STATE ZIP CODE	
()	()			
HOME PHONE	WORK PHONE	E-MAIL ADDRESS		
ALASKA NATIVE BLOOD QUANTUM (E	BQ) PERCENTAGE (%):			
Does enrollee own shares in any	Regional Corporation? YES	□ NO □ UNSURE IF YES,	check all that apply	
☐ Ahtna, Incorporated	☐ Bristol Bay Native Corporation	□ Doyon, Limited	☐ 13th Regional	
☐ Aleut Corporation	☐ Calista Corporation	☐ Koniag, Inc.	Corporation	
☐ Arctic Slope Regional Corporation	☐ Chugach Alaska Corporation	☐ NANA Regional Corpora	ation	
☐ Bering Straits Native Corporation	☐ Cook Inlet Region, Inc.	☐ Sealaska Corporation		
ADOPTED? ☐ YES ☐ NO (If	adopted by one or both parents	you must also complete th	ne ADOPTION FORM)	
PART 2: BIOLOGICAL P.	ARENTS OF APPLICAN	T / ENROLLEE		
Alaska Native blood quantum CAN ONL			hrough adoptive parent(s)	
BIOLOGICAL MOTHER UNI	KNOWN (if other biological relative	es are known please contac	t Shareholder Records)	
FIRST NAME	MIDDLE NAME	LAST NAME	SUFFIX	
		·		
OTHER NAMES KNOWN BY (SUCH AS MAIDEN)	SOCIAL SE	CURITY NUMBER	DATE OF BIRTH (MM/DD/YYYY)	
ALASKA NATIVE? YES	NO DUNSURE			
Does biological mother own share				
☐ Ahtna, Incorporated	☐ Bristol Bay Native Corporation	☐ Doyon, Limited	☐ 13th Regional Corporation	
☐ Aleut Corporation	☐ Calista Corporation	☐ Koniag, Inc.	•	
☐ Arctic Slope Regional Corporation	☐ Chugach Alaska Corporation	☐ NANA Regional Corpora	ation	
☐ Bering Straits Native Corporation	☐ Cook Inlet Region, Inc.	☐ Sealaska Corporation		
BIOLOGICAL FATHER UNI	KNOWN (if other biological relative	es are known please contac	t Shareholder Records)	
FIRST NAME	MIDDLE NAME	LAST NAME	SUFFIX	
	_	. –		
OTHER NAMES KNOWN BY (SUCH AS MAIDEN)	SOCIAL SE	CURITY NUMBER	DATE OF BIRTH (MM/DD/YYYY)	
ALASKA NATIVE? ☐ YES ☐	NO 🗆 UNSURE			
Does biological father own shares	s in any Regional Corporation?	☐ YES ☐ NO ☐ UNSURE	IF YES, check all that apply	
☐ Ahtna, Incorporated	☐ Bristol Bay Native Corporation	□ Doyon, Limited	☐ 13th Regional	
☐ Aleut Corporation	☐ Calista Corporation	☐ Koniag, Inc.	Corporation	
☐ Arctic Slope Regional Corporation	☐ Chugach Alaska Corporation	□ NANA Regional Corpora	ation	
☐ Bering Straits Native Corporation	☐ Cook Inlet Region, Inc.	☐ Sealaska Corporation		

PART 3: ADOPTIVE PARENT(S) INFORMATION

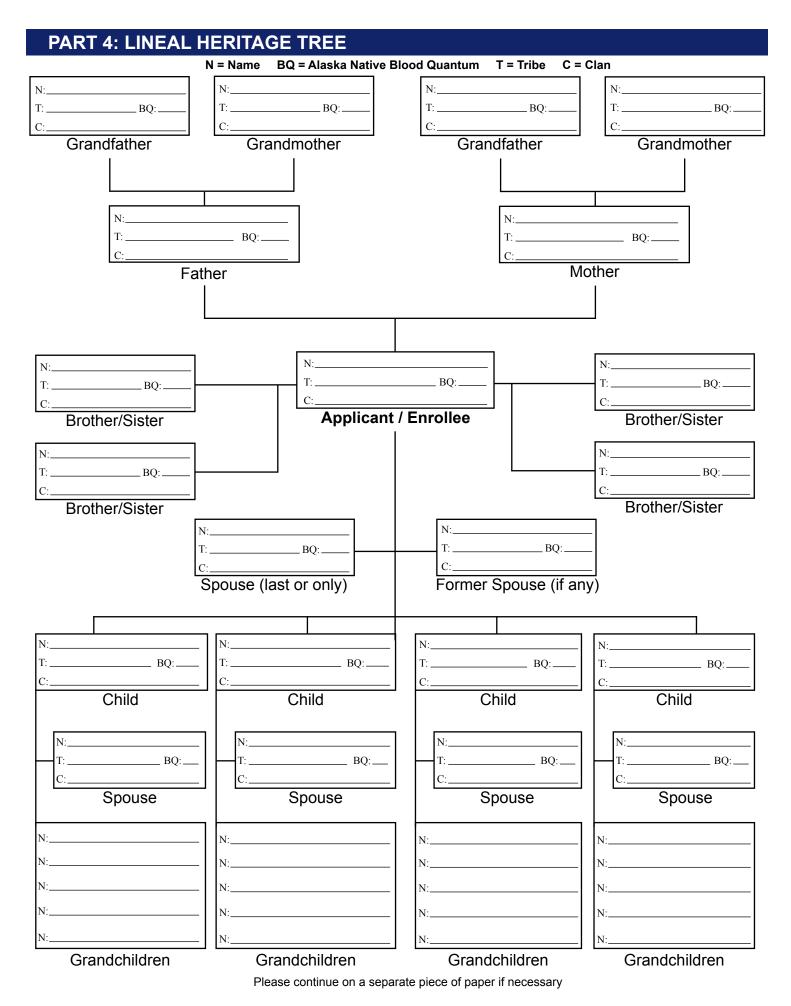
Alaska Native blood quantum CAN ONLY be established through biological parent(s) and CANNOT be met through adoptive parent(s). If applicant is not adopted by mother or father, please check "NOT APPLICABLE" and continue to Part 4.

ADOPTIVE MOTHER	□ NOT APPLICABLE (because	mother is biological)	
FIRST NAME	MIDDLE NAME	LAST NAME	SUFFIX
OTHER NAMES KNOWN BY (SUCH AS MAIDEN)	SOCIAL SE	SOCIAL SECURITY NUMBER	
ALASKA NATIVE? $\ \square$ YES $\ \square$	NO UNSURE		
Does adoptive mother own share ☐ Ahtna, Incorporated ☐ Aleut Corporation	es in any Regional Corporation? ☐ Bristol Bay Native Corporation ☐ Calista Corporation	☐ YES ☐ NO ☐ UNSURE IF ☐ Doyon, Limited ☐ Koniag, Inc.	YES, check all that apply ☐ 13th Regional Corporation
☐ Arctic Slope Regional Corporation	☐ Chugach Alaska Corporation	☐ NANA Regional Corporation	1
☐ Bering Straits Native Corporation	☐ Cook Inlet Region, Inc.	☐ Sealaska Corporation	
ADOPTIVE FATHER	□ NOT APPLICABLE (because	father is biological)	
FIRST NAME	MIDDLE NAME	LAST NAME	SUFFIX
OTHER NAMES KNOWN BY	SOCIAL SE	ECURITY NUMBER	DATE OF BIRTH (MM/DD/YYYY)
ALASKA NATIVE? ☐ YES ☐	NO UNSURE		
Does adoptive father own shares ☐ Ahtna, Incorporated	☐ Bristol Bay Native Corporation	☐ YES ☐ NO ☐ UNSURE IF ☐ Doyon, Limited	YES, check all that apply 13th Regional Corporation
☐ Aleut Corporation	☐ Calista Corporation	☐ Koniag, Inc.	•
☐ Arctic Slope Regional Corporation	☐ Chugach Alaska Corporation	□ NANA Regional Corporation	1
☐ Bering Straits Native Corporation	☐ Cook Inlet Region, Inc.	☐ Sealaska Corporation	

REMEMBER:

If adopted by one or both parents, you must attach an ADOPTION COURT ORDER.

Documents must show the biological parent(s) name(s). If your adoption court order does not show biological parents, then contact Shareholder Records for guidance at (907) 822-3476.



PART 5: TERMS, CONDITIONS AND RESTRICTIONS

The following Terms, Conditions and Restrictions are accepted by the enrollee and his/her custodian once the Class L stock is received:

- Class L shares are not entitled to receive special distributions of natural resource revenues under 7(j).
- · A total of 100 shares will be given to each eligible enrollee.
 - 30 Class L shares will be distributed to every enrollee upon enrollment
 - 70 additional Class L shares will be distributed upon reaching 18 years of age and receiving a High School Diploma, GED or equivalent, or reaching 21 years of age without a High School Diploma, GED or equivalent, for a total of 100 shares.
- · Class L stock shall be life estate which means upon the death of that shareholder, the stock is cancelled without compensation to the heirs.
- Class L stock may not be transferred by gift.
- Class L stock and the right to receive dividends may not be sold, pledged, assigned in present or future, transferred by gift, even if restrictions on transfer end for other Ahtna stock.
- 30 shares of Class L stock can be voted by the shareholder's custodian until they reach the age of 18 unless Alaska law changes the age of majority.
- Class L shareholders may not now nor in the future own stock in another regional corporation unless the other regional corporation stock is obtained by inheritance, gift or purchase.
- Any person who already owns Class L stock will not be eligible for more shares.
- Class L stock will revert to Ahtna without compensation if it is later determined that the recipient was not eligible to receive the stock or violates any condition of holding the stock.

PART 6: CERTIFIC	CATION AND SIGNATU	IRE		
☐ I am the enrollee and an	n 18 years or older (SKIP TO SIG	NATURE)		
☐ I am the biological or ad	optive parent of enrollee in Part	1 (CONTINUE PART 6)		
☐ I am the legal custodian	of enrollee by \square Court Order \square	R □ Power of Attorn	ney (Attach Document -	CONTINUE PART 6
☐ None of the above (CON	ITINUE PART 6)		•	
`	,			
FIRST NAME	MIDDLE NAME		ST NAME	
FIRST NAME	MIDDLE NAME	LAS	51 NAME	SUFFIX
MAILING ADDRESS		CITY	STATE	ZIP CODE
((
()	()		All ADDDEOO	
HOME PHONE	WORK PHONE	E-M	AIL ADDRESS	
SIGNATURE		DATE		
PRINT NAME		RELATIONSHIP 1	TO ENROLLEE	
NOTARIZED SIGNATURE				
	olic U.S. Postmaster in the Sta	•	· · · · · · · · · · · · · · · · · · ·	
	, personally came		_, known to me to be the	e person described in
true to their knowledge.	ng certificate, and acknowledged to	me that they executed t	he same, and that the for	regoing certificate is
Subscribed and sworn to befo	re me this day of			
My notary commission expires	s on the day of	,		
POSTMASTER OR NOTARY	PUBLIC SIGNATURE		(Postmaster or Notary F	Public Seal or Stamp)

PART 7: DIRECT DEPOSIT OF DIVIDENDS AND/OR DISTRIBUTIONS

☐ No, I DO NOT want Direct Deposit (SKIP)

Dividend Direct Deposit Authorization Form	
Full name (first, middle, last, suffix):	
Social Security Number:	Date of Birth (MM/DD/YYYY):/
Address: Your Ahtna, Inc. mail will be sent here and can only be changed by written request. Remember to also update your	address with the US Postal Service or your Ahtna, Inc. mail may be returned
Home Phone: Work Phone:	Cell Phone:
Email Address:	
Account Information: New Account Change Account Name(s) on Bank Account:	
Bank Name:	Bank Phone:
City:	State: Zip Code:
Account Type: Checking Savings	
Bank Routing Number:	
Account Number:	
By signing below, I hereby certify to Ahtna, Inc. that I am an owner of this indicated on this Dividend Direct Deposit Authorization form and, if necessar the account indicated on this form.	
This authority is to remain in full force and effect until Ahtna, Inc. has received Ahtna, Inc. and the bank listed above a reasonable opportunity to act on it understand that direct deposit will be cancelled.	
·	
Signature:	Date:

*IMPORTANT: Please attach a voided check if you wish to do direct deposit.

Without a voided check, we are unable to process this form.

PART 7: DIRECT DEPOSIT OF DIVIDENDS AND/OR DISTRIBUTIONS continued

How to Direct Deposit your Ahtna, Inc. Dividend Check

*Please complete an application for each shareholder applying for direct deposit.

- 1. Complete personal information:
 - a. First name, middle name, last name and suffix (Jr., Sr., III, etc.)
 - b. Social security number and date of birth; help to verify that the correct shareholder's record is updated.
 - c. Mailing address.
 - d. Phone number(s), in case we have questions regarding your application.
 - e. E-mail address.

2. Complete account information:

- a. Print name on the bank account.
- b. Print bank name.
- c. Print bank contact information.
- d. Check box for Checking or Savings account.
- e. Print bank's nine-digit routing number. This is a nine-digit number that banks use for direct deposit purposes. You may need to call your bank to obtain number.
- f. Print bank account number. It is not necessary to include a check number.
 - i. Verify your routing and account numbers with your bank. If you provide an incorrect number, your direct deposit may be rejected or deposited to an incorrect account.
- g. Please read the authorization before signing.
- h. Please sign the application. We are not able to process applications that are not signed.

3. Attach a voided check:

a. Please attach a voided check to verify the account information on the direct deposit authorization form. **Without a voided check, we are unable to process this form.**

4. Children on account:

- a. If depositing your children's dividends into your account, your bank may reject these deposits if their names aren't on your account. Please call your bank to verify that it is acceptable to deposit their dividends to your account.
- b. Only custodians may sign direct deposit applications for their children. If you are not the custodial parent, or custody has changed, please call us at (907) 822-3476 or toll-free at 1 (866) 475-0720.
- c. Custodian will sign on the allotted signature line.

5. Canceling direct deposit:

- a. In order to cancel direct deposit, you may use this form (mark Cancel account) and/or provide Ahtna, Inc. with a signed request.
- b. If a dividend is direct deposited and returned from a bank because an account is no longer open, a check will be issued and mailed to you if your address is current.
- c. If for any reason you should need to cancel your direct deposit, you must send written notification of termination in such time and manner as to afford Ahtna, Inc. and the bank you list a reasonable opportunity to act on it prior to the distribution date.

Direct deposit:

- a. May only take place on dates of distributions to shareholders. Shareholders with distribution hold codes (IRS withholding, child support) will be issued checks and mailed.
- b. If you fax in your application, using the fax number (907) 822-3495, please inform the Shareholder Services department prior to faxing and call after faxing to confirm that your application was received.